Docket No. 1948-4631

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Nicolai et al.

Group Art Unit:

3729

Serial No.:

09/423,075

Examiner:

Anthony D. Tugbang

Filed:

November 1, 1999

For:

SHIEDLING AN ELECTRONIC CARD WITH A PRINTED CIRCUIT MOUNTED

ON A METAL SUBSTRATE

## **CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Mail Stop \_\_\_\_\_ Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

- 1. Status Inquiry;
- 2. Copy of the Request for a Fee Refund filed March 10, 2003;
- 3. Copy of the PTO Mail Room stamp confirming receipt of the Request for a Fee Refund; and
- 4. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: July 25, 2003

By:

Richard D. Ratchford Jr. Registration No. 53,865

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154-0053 (212) 758-4800 Telephone (212) 751-6849 Facsimile

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#### **STATUS INQUIRY**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention:

Refund Branch, Accounting

Division, Office of Finance

Sir:

On March 10, 2003 applicants filed a Request for a Fee Refund Pursuant to 37 C.F.R. § 1.26 (copy attached) along with a Request for Continued Examination and other supporting transmittals. A copy of applicants' post card bearing the Patent and Trademark Office Mail Room stamp to confirm this deposit is also attached.

As of the date of this letter, applicants have not received any further communication regarding the Fee Refund from the Patent and Trademark Office. Accordingly, the Office is respectfully requested to advise applicants about the status of the Fee Refund.

No fees are believed to be due in connection with this inquiry, however, in the event that any fees may otherwise be required, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 13-4500, Order No. 1948-4631.

PATENT Docket No. 1948-4631

The Office is urged to telephone undersigned at the number noted below if any

further information is needed.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: July 25, 2003

By:

Richard D. Ratchford Jr. Registration No. 53,865

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154-0053 (212) 758-4800 Telephone (212) 751-6849 Facsimile Docket No.: 1948-4631 regard

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nicolai et al.

Serial No.: 09/423,075

Group Art Unit:

3729

Filed:

**PATENT** 

November 1, 1999

Examiner:

Anthony D. Tugbang

For:

SHIELDING AN ELECTRONIC CARD WITH A PRINTED CIRCUIT

MOUNTED ON A METAL SUBSTRATE

Commissioner for Patents Washington, DC 20231

Attention:

Refund Branch, Accounting

Division, Office of Finance

### REQUEST FOR A FEE REFUND PURSUANT TO 37 C.F.R. § 1.26

Sir:

Further to the Office Action dated May 8, 2002 and Advisory Action dated February 19, 2003, Applicants herewith file an RCE to request consideration of the August 8, 2002 Amendment in the above case, and a petition for a four month extension of time to render the filing of the RCE timely. Applicants respectfully request a refund for the Extension of Time Fee that is being paid today, March 10, 2003. The factual bases for Applicants' request are as follows:

- On May 8, 2002, a Final Office Action was issued in this application;
- On August 8, 2002, Applicants filed an Amendment Under 37 C.F.R. § 1.116:
- On September 9, 2002, Applicants filed a Notice of Appeal and a Petition and Fee for Extension of Time because no response had yet been received from the U.S. Patent and Trademark Office to the Amendment filed August 8, 2002;
- After still not having received any further notification from the U.S. Patent and Trademark Office on February 13. 2002, Applicants' attorney contacted

**PATENT** 

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Examiner Anthony D. Tugbang regarding the August 8, 2002 Amendment. During the course of the interview it was discovered that the delay in responding was attributable to the Patent Office at least partly because the case was internally reassigned, and due to circumstances beyond the control of Applicants no response to the August 8, 2002 Amendment was provided. This now directly results in the requirement of at least a four month extension of time and associated fee for submitting a further response in this matter;

- On February 19, 2003, an Advisory Action was issued in response to the August 8, 2002 Amendment. The Advisory Action also included an Interview Summary indicating that no response had been sent to the Applicants regarding the status of the August 8, 2002 Amendment;
- Today, March 10, 2003, Applicants are filing an RCE, a Petition for Extension
  of Time and a check in the amount of \$1450.00 (Extension of Time Fee) in
  response to the February 19, 2003 Advisory Action untimley submitted by the
  Examiner.

In short, Applicants believe that the \$1450.00 Extension of Time Fee is being unnecessarily paid by Applicants, as the delay in responding to the August 8, 2002 Amendment was attributable to the Patent Office and in no part the fault of the Applicants. Accordingly, Applicants respectfully request that the amount of \$1450.00 be refunded either in the form of a check made payable to Applicants' attorneys, Morgan & Finnegan, at the mailing address below, or, in the alternative, that such refund be credited to the account of Applicants' attorneys, that is, Deposit Account No. 13-4500, Order No. 1948-4631.

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Applicants believe this response is filed timely and that no extension of time is required. However, should an extension of time be necessary, Applicants respectfully petition that such extension be granted. The Commissioner is hereby authorized to charge any additional fees which may be required for the timely consideration of this response under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1948-4631

Please contact the undersigned at the telephone number below if there are any questions regarding this matter.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: March 10, 2003

Richard D. Ratchford Jr. Registration No. P 53,865

Mailing Address:

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

Case No. 1948-4631

Serial No. <u>09/423,075</u>

Date Mailed: March 10, 2003

Atty RDR

Date Due in the Patent Office: March 10, 2003

The return of this post card, properly stamped, will acknowledge receipt in the Patent & Trademark Office of the following:

- 1. Request for Continued Examination (RCE) Transmittal;
- 2. Request for a Fee Refund Pursuant to 37 CFR § 1.26;
- 3. Petition and Fee for Extension of Time (4 months);
- 4. Check in the amount of \$750.00 (RCE);
- 5. Check in the amount of \$1450.00 (ext. of time); and
- 6. Express Mail Certificate.

